



2645
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FAX 202.383.6610
A LIMITED LIABILITY PARTNERSHIP

December 2, 2003

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Technology Center 2600

Commissioner for Patents
P.O. Box 1450, Alexandria, VA 22313-1450

MAIL STOP NF AMENDMENT

Re: U.S. Patent Application Serial No. 09/876,958
Filed: June 8, 2001 Confirmation No.: 9444
For: **System and Method for Integrating Call Record Information**
Inventors: GLOWNY, et al.
Attorney Docket No.: 02158.0313.NPUS00

Sir:

The following documents are forwarded for appropriate action by the U.S. Patent and Trademark Office:

1. ***Reply under 37 C.F.R. §1.111***
2. ***Terminal Disclaimer to Obviate Double Patenting Rejection***
3. ***Certificate under 37 C.F.R. §3.73(b)***
4. ***Copy of Assignment Document***
5. ***Returned-receipt postcard***

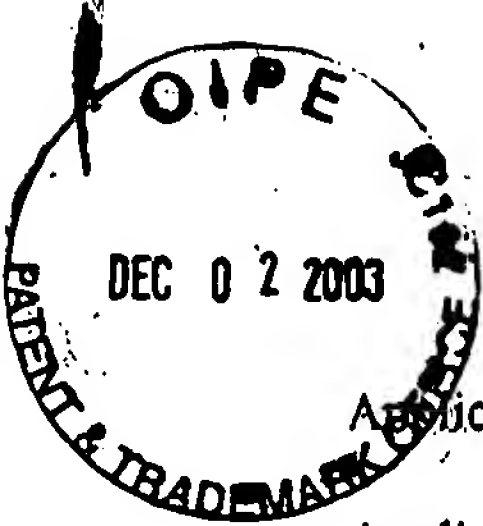
It is respectfully requested that the attached postcard be stamped with the date of filing of these documents, and that it be returned to our courier.

The U.S. Patent and Trademark Office is hereby authorized to charge the fee of \$110.00 for filing a Terminal Disclaimer to our Deposit Account No. 08-3038, referencing docket number 02158.0313.NPUS00. The U.S. Patent and Trademark Office is hereby authorized to charge any fee, or credit any overpayment, to our Deposit Account No. 08-3038. If extensions of time under 37 C.F.R. § 1.136 other than those otherwise provided for herewith are required to prevent abandonment of the present patent application, then such extensions of time are hereby petitioned, and any fees therefor are hereby authorized to be charged to our Deposit Account No. 08-3038r. *A duplicate copy of this letter is enclosed.*

Respectfully submitted,

Anthony L. Meola (Reg. No. 44,936)
Anderson I. Chen (Reg. No. 44,436)

Enclosures



Certificate Under 37 C.F.R. § 3.73(b)

Applicant(s): Glowny et al.

Application No: 09/876,958 Filed: June 8, 2001

For: System and Method Integrating Call Record Information

DICTAPHONE CORPORATION, a CORPORATION
(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is the assignee of the entire right, title and interest in the patent application identified above by virtue of either:

A. ☒ An Assignment from the inventor(s) of the patent application identified above. The assignment was sent for recording in the Patent and Trademark Office on August 13, 2003. A Copy of the Assignment document and recordation cover sheet is attached.

[or]

B. ☐ A chain of title from the inventor(s) of the patent application identified above to the current assignee as shown below:

1. From: _____ To: _____
The document was recorded in the Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

2. From: _____ To: _____
The document was recorded in the Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

3. From: _____ To: _____
The document was recorded in the Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

☐ Copies of assignments or other documents in the chain of title are attached.

To the best of the undersigned's knowledge and belief, title of the patent application identified above is in the assignee identified above.

The undersigned (whose title is supplied below) is empowered to act on behalf of the assignee.

I hereby declare that all statements made herein of my own knowledge are true, and that all statements made on information and belief are believed to be true; and further, that these statements are made with the knowledge that willful false statements, and the like so made, are punishable by fine or imprisonment, or both under Section 1001, Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Date: 12/02/03

Name: Melinda H. Smith

Title: Secretary and Chief Legal Counsel

Signature: Melinda H. Smith

Certificate Under 37 C.F.R. § 3.73(b)

Applicant(s): Bshheider et al.

Application No: 09/876,978 Filed: June 8, 2001

For: System and Method for Data Recording

DICTAPHONE CORPORATION

(Name of Assignee)

, a CORPORATION

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is the assignee of the entire right, title and interest in the patent application identified above by virtue of either:

A. ☒ An Assignment from the inventor(s) of the patent application identified above. The assignment was sent for recording in the Patent and Trademark Office on August 14, 2003. A Copy of the Assignment document and recordation cover sheet are attached.

[or]

B. ☐ A chain of title from the inventor(s) of the patent application identified above to the current assignee as shown below:

1. From: _____ To: _____
The document was recorded in the Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

2. From: _____ To: _____
The document was recorded in the Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

3. From: _____ To: _____
The document was recorded in the Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

☐ Copies of assignments or other documents in the chain of title are attached.

To the best of the undersigned's knowledge and belief, title of the patent application identified above is in the assignee identified above.

The undersigned (whose title is supplied below) is empowered to act on behalf of the assignee.

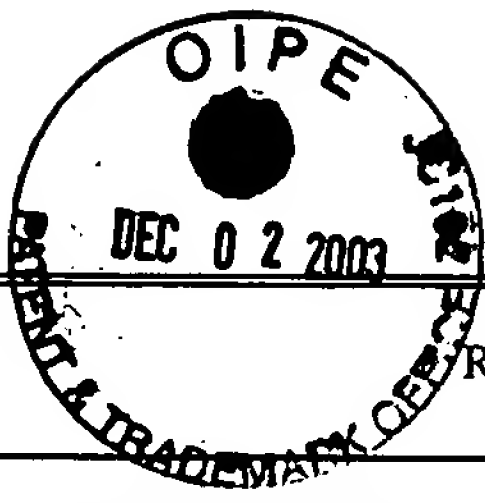
I hereby declare that all statements made herein of my own knowledge are true, and that all statements made on information and belief are believed to be true; and further, that these statements are made with the knowledge that willful false statements, and the like so made, are punishable by fine or imprisonment, or both under Section 1001, Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Date: 12/02/03

Name: Melinda H. Smith

Title: Secretary and Chief Legal Counsel

Signature: 



Form PTO-1595 6/93

U.S. Department of Commerce, U.S. Patent and Trademark Office

RECORDATION FORM COVER SHEET
PATENTS ONLY

To the Honorable Commissioner of Patents and Trademarks. Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

- A) Valerie Bscheider
B) David A. Glowny
C) John E. Richter

Additional name(s) of conveying party(ies) attached? ☐ yes ☒ no

2. Name and address of receiving party(ies):

Name: Dictaphone Corporation
Street Address: 3191 Broadbridge Avenue
City: Stratford State: Connecticut Zip Code: 06614
Country: U.S.A.

Additional name(s) & address(es) attached? ☐ yes ☒ no

3. Nature of Conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Execution Date(s): A) Undated; (B) August 20, 2002;
and (C) August 7, 2003

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4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is

A. Patent Application No(s). 09/876,978

B. Patent No(s).

Additional numbers attached? ☐ yes ☒ no

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: HOWREY SIMON ARNOLD & WHITE, LLP

Internal Address:

Street Address: 1299 Pennsylvania Avenue, N.W.
Box 34

City: Washington State: DC Zip Code: 20004

6. Total number of applications and patents involved

1

7. Total fee (37 C.F.R. § 3.41).....\$ 40.00

☒ Enclosed☐ Authorized to be charged to Deposit Account

8. Deposit Account Number: 08-3038

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Anthony L. Meola

Name of Person Signing
Registration No. 44,936

Signature

August 14, 2003

Date

Total number of pages including cover sheet, attachments and document 6

OMB NO. 0651-0011 (exp. 4/94)

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents and Trademarks, Box Assignments
Washington, D.C. 20231

ASSIGNMENT

This ASSIGNMENT (the "Assignment") is made and entered into by and among, Valerie Bscheider, David A. Glowny and John E. Richter (each an "Assignor") and Dictaphone Corporation, a corporation organized under the laws of the State of Delaware, located and doing business at 3191 Broadbridge Avenue, Stratford, Connecticut 06614 (the "Assignee").

WHEREAS each Assignor desires to assign to Assignee its entire right, title and interest in and to the Invention (as defined below), and Assignee desires to accept such right, title, and interest.

NOW THEREFORE, in consideration of One Dollar (\$1.00) and of other good and valuable consideration, the receipt and sufficiency whereof is hereby acknowledged, the parties agree as follows:

1. Invention Defined. As used in this Assignment "Invention" shall mean that certain application for Letters Patent of the United States filed on June 8, 2001 as Application Serial No. 09/876,978 and entitled "**System and Method for Data Recording**," all inventions disclosed or claimed therein, any continuation, division, renewal, extension, substitute, reexamination, reissue thereof, all treaty and convention rights and all rights of priority arising from the aforesaid applications, all United States, international and foreign patent applications claiming priority therefrom, all United States, international and foreign patents, utility models, invention registrations or any other form of legal protection issuing thereon, and all rights to sue for past, present, or future infringement.
2. Assignment. Each Assignor hereby sells, assigns and transfers to Assignee its entire right, title, and interest in and to the Invention.
3. Further Assurances. Each Assignor hereby covenants that such Assignor shall, at the cost and expense of Assignee, take all actions and execute all documents necessary or desirable to perfect the interest of Assignee in and to the Invention, and do all lawful acts requisite for enforcing rights thereunder, and shall not enter into any agreement in conflict with this Assignment. Each Assignor hereby covenants to communicate to Assignee any facts known concerning the Invention.
4. Acknowledgement. Each Assignor acknowledges that Assignee shall have the right to make application for and to receive Letters Patent for the Invention in any country throughout the world, and to receive Letters Patent in its name covering the Invention.
5. Representations and Warranties. Each Assignor represents and warrants that it (i) has full power and authority to enter into this Assignment, (ii) this Assignment has been duly authorized, executed and delivered by such Assignor and constitutes the legal, valid and binding obligation of such Assignor, enforceable against it in accordance with its terms hereof, (iii) has not previously assigned any right or interest in the Invention to any third party, (iv) is under no obligation to assign any right or interest in the Invention to any third party, and (v) entry into this Assignment does not breach any agreement between such Assignor and any third party.

Inventor No. 2 David A. Glowny
David A. Glowny
Residence 53 Candlewood Road, Milford, Connecticut 06460

State of CONNECTICUT
County of Fairfield) ss

Before me this 20 day of AUGUST 2002, personally appeared **David A. Glowny** to me personally known to be the person described in and who executed the above instrument, and acknowledged to me that he/she executed the same of his own free will for the purposes therein set forth.

[Signature]
Notary Public

My Commission Expires
Sept 30, 2003

**SIGN
HERE**

Inventor No. 3 _____
John E. Richter
Residence 118 Shelton Road, Trumbull, Connecticut 06611

State of _____)
County of _____) ss

Before me this _____ day of _____ 20____, personally appeared **John E. Richter** to me personally known to be the person described in and who executed the above instrument, and acknowledged to me that he/she executed the same of his own free will for the purposes therein set forth.

Notary Public

binding obligation of such Assignor, enforceable against it in accordance with its terms hereof, (iii) has not previously assigned any right or interest in the Invention to any third party, (iv) is under no obligation to assign any right or interest in the Invention to any third party, and (v) entry into this Assignment does not breach any agreement between such Assignor and any third party.

6. Successors. This Assignment shall be binding upon and inure to the benefit of the parties thereto and to their respective successors and assigns.

7. Counterparts. This Assignment may be executed in two or more counterparts, each of which shall be deemed to be an original but all of which together shall constitute one and the same instrument.

8. Choice of law. This Assignment shall be governed by, and construed in accordance with, the internal law of the State of New York, without reference to its choice of law principles.

IN WITNESS WHEREOF, each Assignor has caused this Assignment to be executed as of the date written below.

Inventor No. 1 Valerie Bscheider

Valerie Bscheider

Residence 209 Grandview Road, Southbury, Connecticut 06488

State of)

) ss

County of)

Before me this _____ day of _____ 20____, personally appeared **Valerie Bscheider** to me personally known to be the person described in and who executed the above instrument, and acknowledged to me that he/she executed the same of his own free will for the purposes therein set forth.

Notary Public

Inventor No. 2 _____

David A. Glowny

Residence 53 Candlewood Road, Milford, Connecticut 06460

State of _____)
) ss
County of _____)

Before me this _____ day of _____ 20____, personally appeared **David A. Glowny** to me personally known to be the person described in and who executed the above instrument, and acknowledged to me that he/she executed the same of his own free will for the purposes therein set forth.

Notary Public

Inventor No. 3 John E. Richter 8/07/2003
John E. Richter
Residence 118 Shelton Road, Trumbull, Connecticut 06611

State of Connecticut)
) ss
County of Fairfield)

Before me this 7th day of August 2003, personally appeared **John E. Richter** to me personally known to be the person described in and who executed the above instrument, and acknowledged to me that he/~~she~~ executed the same of his own free will for the purposes therein set forth.

Mary G. Twohill
Notary Public

MARY G. TWOHILL
NOTARY PUBLIC
MY COMMISSION EXPIRES FEB. 28, 2006



UNITED STATES
PATENT AND
TRADEMARK OFFICE



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Technology Center 2600

JULY 29, 2003

PTAS

HOWREY SIMON ARNOLD & WHITE, LLP
ANTHONY L. MEOLA
1299 PENNSYLVANIA AVENUE, N.W., BOX 34
WASHINGTON, DC 20004

Under Secretary of Commerce For Intellectual Property and
Director of the United States Patent and Trademark Office
Washington, DC 20231
www.uspto.gov



102390779A

UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 03/10/2003

REEL/FRAME: 013832/0888
NUMBER OF PAGES: 7

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

GLOWNY, DAVID A.

DOC DATE: 08/20/2002

ASSIGNOR:

NI, PHIL MIN

DOC DATE: 02/25/2003

ASSIGNOR:

RICHTER, JOHN *X. E.*

DOC DATE: 08/16/2002

ASSIGNEE:

DICTAPHONE CORPORATION
3191 BROADBRIDGE AVENUE
STRATFORD, CONNECTICUT 06614

DOCKETED
Simon 8/1/03

SERIAL NUMBER: 09876958
PATENT NUMBER:

FILING DATE: 06/08/2001
ISSUE DATE:

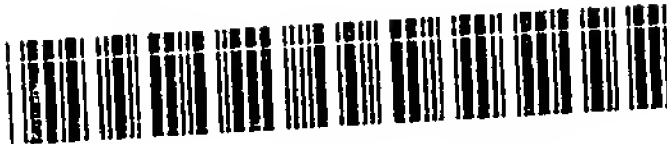
013832/0888 PAGE 2

JEEVON JONES, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

03-14-2003

Form PTO-1595 6/93

Commerce, U.S. Patent and Trademark Office



102390779

To the Honorable Commissioner of Patents and Trademarks, Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

- A) David A. Glowny
B) Phil Min Ni
C) John R. Richter

3.10.03

Additional name(s) of conveying party(ies) attached ☒ yes ☐ no

2. Name and address of receiving party(ies):

Name: Dictaphone Corporation
Street Address: 3191 Broadbridge Avenue
City: Stratford State: Connecticut Zip Code: 06614
Country: U.S.A.

Additional name(s) & address(es) attached? ☐ yes ☒ no

3. Nature of Conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Execution Date(s): (A) August 20, 2002; (B) February
25, 2003; and (C) August 16, 2002

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Technology Center 2600

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is

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B. Patent No(s).

Additional numbers attached? ☐ yes ☒ no

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Name: HOWREY SIMON ARNOLD & WHITE, LLP

Internal Address:

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Box 34

City: Washington State: DC Zip Code: 20004

6. Total number of applications and patents involved

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7. Total fee (37 C.F.R. § 3.41).....\$ 40.00

☒ Enclosed☐ Authorized to be charged to Deposit Account

8. Deposit Account Number: 08-3038

03/13/2003 BYRNE 00000217 09876958

01 FC:8021

40.00 OP

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Anthony L. Meola
Name of Person Signing
Registration No. 44,936

Signature

March 10, 2003
Date

Total number of pages including cover sheet, attachments and document 6

OMB NO. 0651-0011 (exp.4/94)

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Commissioner of Patents and Trademarks, Box Assignments
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2. Assignment. Each Assignor hereby sells, assigns and transfers to Assignee its entire right, title, and interest in and to the Invention.
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4. Acknowledgement. Each Assignor acknowledges that Assignee shall have the right to make application for and to receive Letters Patent for the Invention in any country throughout the world, and to receive Letters Patent in its name covering the Invention.
5. Representations and Warranties. Each Assignor represents and warrants that it (i) has full power and authority to enter into this Assignment, (ii) this Assignment has been duly authorized, executed and delivered by such Assignor and constitutes the legal, valid and binding obligation of such Assignor, enforceable against it in accordance with its terms hereof, (iii) has not previously assigned any right or interest in the Invention to any third party, (iv) is under no obligation to assign any right or interest in the Invention to any third party, and (v) entry into this Assignment does not breach any agreement between such Assignor and any third party.

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IN WITNESS WHEREOF, each Assignor has caused this Assignment to be executed as of the date written below.

Inventor No. 1 David A. Glowny
David A. Glowny
Residence 53 Candlewood Road, Milford, Connecticut 06460

State of CONNECTICUT
County of FARLEY^{SS}

Before me this 20 day of August 2002, personally appeared David A. Glowny to me personally known to be the person described in and who executed the above instrument, and acknowledged to me that he/she executed the same of his own free will for the purposes therein set forth.

[Signature]
Notary Public

My Commission Expires
Sept 30, 2003

Inventor No. 2 Phil Min Ni

Residence 20 Corsa Terrace Apt. 2 Ridgewood, NJ 07450

State of Connecticut) ss
County of Fairfield)

PATRICIA A. HENNEGHAN
NOTARY PUBLIC
MY COMMISSION EXPIRES MAY 31, 2005

Before me this 25 day of February 2003, personally appeared **Phil Min Ni** to me personally known to be the person described in and who executed the above instrument, and acknowledged to me that he/she executed the same of his own free will for the purposes therein set forth.

Patricia A. Henneghan

Notary Public

Inventor No. 3 _____

Residence **John E. Richter**
118 Shelton Road, Trumbull, Connecticut 06611

State of _____)
County of _____) ss

Before me this _____ day of _____ 20____, personally appeared **John E. Richter** to me personally known to be the person described in and who executed the above instrument, and acknowledged to me that he/she executed the same of his own free will for the purposes therein set forth.

Notary Public

Inventor No. 2 _____

Phil Min Ni

Residence 3 Fairview Drive, Apt. 2, Danbury, Connecticut 06810

State of)

) ss

County of)

Before me this _____ day of _____ 20____, personally appeared **Phil Min Ni** to me personally known to be the person described in and who executed the above instrument, and acknowledged to me that he/she executed the same of his own free will for the purposes therein set forth.

Notary Public

Inventor No. 3 John E. Richter

John E. Richter

Residence 118 Shelton Road, Trumbull, Connecticut 06611

State of Connecticut

) ss

County of Fairfield

Before me this 16th day of August 2002, personally appeared **John E. Richter** to me personally known to be the person described in and who executed the above instrument, and acknowledged to me that he/she executed the same of his own free will for the purposes therein set forth.

Mary G. Twohill
Notary Public

MARY G. TWOHILL
NOTARY PUBLIC
MY COMMISSION EXPIRES FEB. 28, 2005